

# EFFICIENT REMOVING OF IMPULSIVE NOISE BASED ON AN $\ell_1$ - $\ell_2$ COST-FUNCTION

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## ABSTRACT

We consider images corrupted by impulsive noise (outliers). A large variety of methods are based on order statistic filters. Other methods use state-conditioned filters. We propose a different approach, consisting of two stages. First, outliers are detected based on the minimizer of a cost-function composed of an  $\ell_1$  data-fidelity and an  $\ell_2$  regularization term. The computation of this minimizer is speed and the detection of outliers reliable. Then, only outliers are removed and replaced by the median of the nearest neighboring regular (uncorrupted) data samples. This method is justified by some recent theoretical results [13]. The numerical experiments show that our method is very efficient in a broad range of situations, including highly corrupted images.

## 1. INTRODUCTION

The unknown original image  $x^*$  and the data  $y$  are identified with vectors of  $\mathbb{R}^p$ . We consider data  $y$  corrupted with impulsive noise. We call *outliers* all samples  $y_i$  for  $i \in \Omega_y$  where  $\Omega_y := \{i \in \{1, \dots, p\} : y_i \neq x_i^*\}$ . Conversely,  $\Omega_y^c = \{1, \dots, p\} \setminus \Omega_y$  addresses all *regular* (uncorrupted) data samples. It is naturally supposed that there are “enough” regular data samples and that outliers are quite *dissimilar* with respect to neighboring pixels. Since [1], many different order-statistic filters have been derived in order to process outliers [2, 3, 4, 5, 6, 7, 8], e.g. recursive median, hybrid median, center-weighted median (CWM), permutation weighted median (PWM). Being applied uniformly across the image, these filters tend to alter both corrupted and regular pixels. State-conditioned filters smooth pixels conditionally on a decision, taken over a small window, on whether or not it is an outlier [9, 10, 11]. Their success is closely dependent on the reliability of the outlier decision rule and of the window size.

Our approach is different. In [12, 13], we consider cost-functions composed of a non-smooth data-fidelity term and a smooth regularization term, and show that their minimizers fit exactly regular (uncorrupted) data entries and smooth outliers. We observed that outliers are reliably detected, even in highly corrupted images, but that their smoothing is not optimal. In this paper, we separate these tasks. For the detection of outliers we use an  $\ell_1$ - $\ell_2$  cost-function—see (2)—whose minimization is very easy (§4). Then detected outliers are smoothed using conventional median-based techniques. The numerical results are extremely encouraging.

## 2. THE PROPOSED METHOD

For every pixel  $i \in \{1, \dots, p\}$ , the symbol  $N_i$  denotes the set of the four, or the eight pixels adjacent to  $i$ . Recall that  $i \cap N_i = \emptyset$  and

that  $j \in N_i \Leftrightarrow i \in N_j$ . By extension, if  $\omega$  is a connected subset on the grid of the image, its adjacent neighborhood  $N_\omega$  reads

$$N_\omega = \left( \bigcup_{i \in \omega} N_i \right) \setminus \omega.$$

If  $\omega = \{i\}$ , we find  $N_\omega = N_i$ . The sought-after denoised image will be denoted  $\hat{x}$ . The proposed method is summarized below.

**Step 1: Detection of outliers.** The estimate  $\hat{\Omega}_y$  of the locations of outliers in data  $y$  is defined as

$$\hat{\Omega}_y = \{i \in \{1, \dots, p\} : \tilde{x}_i \neq y_i\}, \quad (1)$$

where  $\tilde{x}$  minimizes the cost-function  $\mathcal{F}_y : \mathbb{R}^p \rightarrow \mathbb{R}$

$$\mathcal{F}_y(x) = \sum_{i=1}^p |x_i - y_i| + \frac{\alpha}{2} \sum_{i=1}^p \sum_{j \in N_i} (x_i - x_j)^2, \quad (2)$$

where  $\alpha > 0$  is a fixed parameter. We then put

$$\hat{x}_i = y_i, \forall i \in \hat{\Omega}_y^c,$$

since  $\hat{\Omega}_y^c$  is the estimated set of regular data points.

**Step 2: Smoothing of outliers.** Let us represent  $\hat{\Omega}_y$  as a union of connected components, say  $\omega_\ell$ , for  $\ell = 1, \dots, n$ . We consider successively all  $\omega_\ell$ , for  $\ell = 1, \dots, n$ . If  $\omega_\ell$  is a singleton, say  $\omega_\ell = \{i\}$ , we take

$$\hat{x}_i = \text{median}(\{y_j : j \in N_i\}).$$

In practice, many  $\omega_\ell$ s are singletons. Otherwise, for every  $i \in \omega_\ell$ , we determine  $\tilde{N}_i \subset N_{\omega_\ell}$  as the subset of the  $m$  closest samples to  $i$  (e.g.,  $m = 4$ ) and calculate  $\hat{x}_i = \text{median}(\{y_j : j \in \tilde{N}_i\})$ . Thus all  $\hat{x}_i$  are calculated based only on regular data samples.

## 3. THEORETICAL JUSTIFICATION

The statements given below follow from some more general results developed in [13]. For any  $x \in \mathbb{R}^p$ , and for any  $i \in \{1, \dots, p\}$ , let  $\chi_i(x)$  be the mean of the neighbors of  $x_i$ :

$$\chi_i(x) = \frac{1}{\#N_i} \sum_{j \in N_i} x_j.$$

Let us emphasize that  $\chi_i(x)$  does not depend on  $x_i$ .

**Proposition 1** For  $y \in \mathbb{R}^p$ , the function  $\mathcal{F}_y : \mathbb{R}^p \rightarrow \mathbb{R}$  reaches its minimum at  $\tilde{x} \in \mathbb{R}^p$  if and only if

$$|\tilde{x}_i - \chi_i(\tilde{x})| \leq \frac{1}{2\alpha\#N_i}, \quad \forall i \in \hat{\Omega}_y^c, \quad (3)$$

$$\tilde{x}_i - \chi_i(\tilde{x}) = \frac{\text{sign}(y_i - \chi_i(\tilde{x}))}{2\alpha\#N_i}, \quad \forall i \in \hat{\Omega}_y, \quad (4)$$

where  $\hat{\Omega}_y$  is defined as in (1).

Notice that in (3) we have  $\tilde{x}_i = y_i$ . By (3)-(4), if  $|y_i - \chi_i(\tilde{x})| > 1/(2\alpha\#N_i)$ , then  $y_i$  is estimated to be an outlier. So, the detection of outliers is based on the (implicit) comparison of each  $y_i$  with the relevant  $\chi_i(\tilde{x})$ . Let  $M_i$  be an extended neighborhood of  $i$ , say  $M_i = N_i \cup \left(\bigcup_{j \in N_i} N_j\right) \setminus \{i\}$ . Below we show that various subsets  $\hat{\Omega}_y$  can arise as long as  $y$  varies.

**Proposition 2** Choose  $\hat{\Omega}$  so that  $M_i \in \hat{\Omega}^c$  for every  $i \in \hat{\Omega}$ . Then there is an open set  $Y_{\hat{\Omega}} \subset \mathbb{R}^p$  such that for every  $y \in Y_{\hat{\Omega}}$ , the function  $\mathcal{F}_y$  reaches its minimum at a point  $\tilde{x}$  such that  $\tilde{x}_i = y_i$  for all  $i \in \hat{\Omega}^c$ , whereas  $\tilde{x}_i \neq y_i$  is given by (4) for all  $i \in \hat{\Omega}$ .

For instance, suppose that  $\tilde{x}$  is such that for some  $i$  we have  $M_i \in \hat{\Omega}_y^c$ . Based on (3)-(4), it is not difficult to see that

$$\begin{aligned} |y_i - \chi_i(y)| &\leq \frac{1}{2\alpha\#N_i} \Leftrightarrow \tilde{x}_i = y_i \\ |y_i - \chi_i(y)| &> \frac{1}{2\alpha\#N_i} \Leftrightarrow \tilde{x}_i = \chi_i(y) - \frac{\sigma_i}{2\alpha\#N_i}, \end{aligned} \quad (5)$$

where  $\sigma_i = \text{sign}(y_i - \chi_i(y))$ . In the second case,  $y_i$  is an outlier, because it is "too far" from the mean of its neighbors. When the image is highly corrupted, many outliers are adjacent neighbors; then  $\hat{\Omega}_y$  is composed of connected components of different size. Let  $\omega$  be a connected component of  $\hat{\Omega}_y$ . It can be shown that  $\tilde{x}_i$ , for  $i \in \omega$ , is calculated as an increasing, affine combination of all neighboring regular samples,  $y_j$ , for  $j \in N_\omega \subset \hat{\Omega}_y^c$ .

Next we focus on the stability of the detection of outliers proposed in step 1. For  $i \in \hat{\Omega}_y$ , put  $\sigma_i = \text{sign}(y_i - \chi_i(\tilde{x}))$ . Based on (4), it can be shown that for all  $y' \in \mathbb{R}^p$  such that

$$\begin{aligned} y'_i &= y_i & \forall i \in \hat{\Omega}_y^c, \\ \sigma_i y'_i &\geq \sigma_i y_i & \forall i \in \hat{\Omega}_y, \end{aligned}$$

the relevant  $\mathcal{F}_{y'}$  reaches its minimum at the same point  $\tilde{x}$ . This shows that if  $y_i$  is outlier, then  $\tilde{x}_i$  is independent of the exact value of  $y_i$ , hence  $\tilde{x}$  is insensitive to the magnitude of aberrant data.

**Proposition 3** For  $y \in \mathbb{R}^p$  given, let  $\mathcal{F}_y$  reach its minimum at  $\tilde{x}$ . Suppose that  $\hat{\Omega}_y^c$ , defined according to (1), is nonempty and that for every  $i \in \hat{\Omega}_y^c$ , the inequality in (3) is strict. Then there is an open subset  $Y_{\hat{\Omega}} \subset \mathbb{R}^p$ , with  $y \in Y_{\hat{\Omega}}$ , such that for every  $y' \in Y_{\hat{\Omega}}$ , the relevant  $\mathcal{F}_{y'}$  reaches its minimum at an  $\tilde{x}'$  such that

$$\begin{aligned} \tilde{x}'_i &= y'_i & \text{if } i \in \hat{\Omega}_y^c, \\ \tilde{x}'_i &\neq y'_i & \text{if } i \in \hat{\Omega}_y. \end{aligned}$$

The estimation of outliers proposed in step 1 is stable since  $\hat{\Omega}_y$  remains unchanged under small perturbations of the data. Reciprocally, the sets  $Y_{\hat{\Omega}}$  in Propositions 2 and 3 being open, noisy data  $y$  do come across them and yield minimizers which fit exactly a certain number of the data entries, i.e. that  $\Omega_y \neq \emptyset$ .

By (3)-(4) and (5) we see that decreasing  $\alpha$  increases the threshold for the detection of outliers, and vice-versa. For images with complex features, detecting all outliers may require  $\alpha$  to be pretty large. Then some regular data entries (especially those placed near the edges) may be falsely detected as outliers. This will not considerably decrease the quality of the restoration since at step 2 of our method, these entries are replaced by the median of their neighbors, which is a good edge-preserving operation. Practically, the method is quite stable with respect to the value of  $\alpha$ .

#### 4. MINIMIZATION ALGORITHM

For every  $k = 1, 2, \dots$ , the iterate  $x^{(k)}$  is obtained from  $x^{(k-1)}$  by calculating successively all its components  $x_i^{(k)}$ , for  $i = 1, \dots, p$  (taken in any order), according to the scheme:

$$\begin{aligned} &\mathcal{F}_y(x_1^{(k)}, x_2^{(k)}, \dots, x_{i-1}^{(k)}, x_i^{(k)}, x_{i+1}^{(k-1)}, \dots, x_p^{(k-1)}) \\ &= \min_{t \in \mathbb{R}} \mathcal{F}_y(x_1^{(k)}, x_2^{(k)}, \dots, x_{i-1}^{(k)}, t, x_{i+1}^{(k-1)}, \dots, x_p^{(k-1)}). \end{aligned}$$

**Proposition 4** The sequence  $x^{(k)}$  converges, as  $k \rightarrow \infty$ , to a point  $\tilde{x}$  such that  $\mathcal{F}_y(\tilde{x}) \leq \mathcal{F}_y(x)$ , for all  $x \in \mathbb{R}^p$ .

This result is obtained by applying Theorem 2 of [13]. Let the intermediate solution at step  $i-1$  of iteration  $k$  be denoted  $x^{(k,i-1)} = (x_1^{(k)}, x_2^{(k)}, \dots, x_{i-1}^{(k)}, x_i^{(k-1)}, x_{i+1}^{(k-1)}, \dots, x_p^{(k-1)})$ . By Proposition 1, at the next step we calculate  $x_i^{(k)}$  according to the rule:

$$\begin{aligned} \xi_i^{(k)} &= y_i - \chi_i^{(k)} \quad \text{where } \chi_i^{(k)} = \frac{1}{\#N_i} \sum_{j \in N_i} x_j^{(k,i-1)}, \\ \text{if } |\xi_i^{(k)}| &\leq \frac{1}{2\alpha\#N_i} \Rightarrow x_i^{(k)} = y_i, \\ \text{if } |\xi_i^{(k)}| &> \frac{1}{2\alpha\#N_i} \Rightarrow x_i^{(k)} = \chi_i^{(k)} + \frac{\text{sign}(\xi_i^{(k)})}{2\alpha\#N_i}. \end{aligned}$$

Notice that updating each entry  $x_i^{(k)}$  involves only the samples belonging to its neighborhood  $N_i$ . So, at one step we can update simultaneously any subset of entries  $\{i_1, \dots, i_K\} \subset \{1, \dots, p\}$  provided that  $i_j \cap N_{i_k} = \emptyset$  for all  $j, k \in \{1, \dots, K\}$  with  $j \neq k$ . Based on Proposition 3, we can expect that  $\tilde{x}_i = y_i$  for a certain number of the entries of  $\tilde{x}$ . This suggests we initialize the algorithm with  $x^{(0)} = y$ . Because of the simplicity of the calculations, the algorithm is fast. The calculation time is of the order of the median filtering (quite faster if there is a few outliers).

#### 5. EXPERIMENTS

##### 5.1. Illustration of the method.

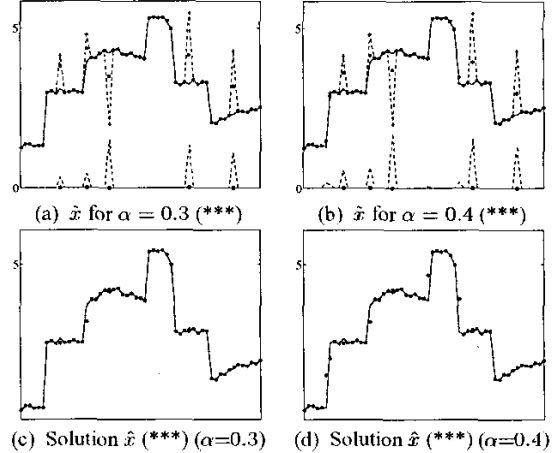


Fig. 1. Original (—), Data (---), Outliers in  $y$  (o), Residual  $|\hat{x} - y|$  (-.-).

We consider the denoising of the original signal  $x^*$  plotted with the solid line in Fig. 1 from the data  $y$  plotted with the dashed line in (a) and (b), which involve 5 outliers. For  $\alpha = 0.3$ , the minimizer  $\hat{x}$  of  $\mathcal{F}_y$ , plotted in (a) with “\*”, leads to  $\hat{\Omega}_y = \Omega_y$ , i.e. to the true set of outliers in  $y$ . Applying step 2 of our method yields the solution  $\hat{x}$  plotted in (c) with “\*”. A larger value for  $\alpha$ , as in (b), leads to an  $\hat{\Omega}_y$  which contains  $\Omega_y$  plus some falsely detected outliers. The resultant solution  $\hat{x}$  is shown in (d).

### 5.2. Denoising of an image

The data in Fig. 2(right) contain 50 % salt-and-pepper (S-P) noise, uniformly distributed over the grid of the image.

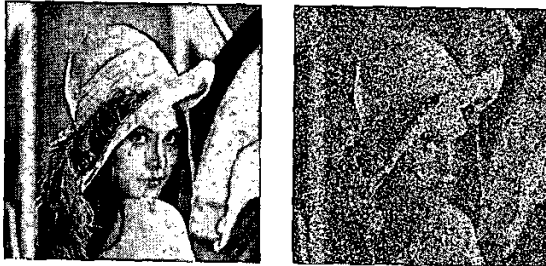


Fig. 2. Original image and data with 50% S-P noise.

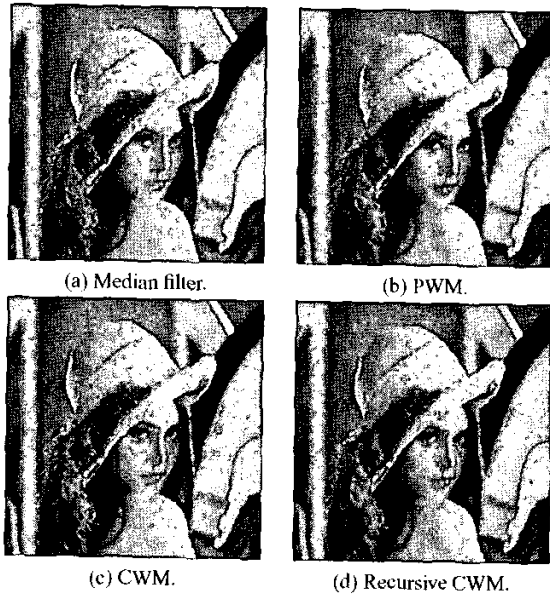


Fig. 3. Median-based methods

In Fig. 3, different median-based techniques are applied by using the set of parameters (window size, number of iterations, recursivity, additional parameters) which lead to the best image denoising. In all cases, we have a  $5 \times 5$  window. The image in (a) corresponds to 2 iterations of median filter. The solution in (b) corresponds to PWM with rank parameters 7 and 19. The image in (c) is obtained

using 3 iterations of CWM with multiplicity parameter 5. The next (d) results from 5 iterations of recursive CWM with parameter 10. All these restorations are slightly blurred, especially the *hairs* and the *feathers*, the *tissue of the hat* lose detail, and there are *defaults* near the nose. Moreover, they still contain several outliers with high intensity.



Fig. 4. The proposed method.

The image in Fig. 4 (a) is  $\hat{x}$ , the minimizer of  $\mathcal{F}_y$  for  $\alpha = 0.0075$ . For 45 % of its entries,  $\hat{x}_i = y_i$ . The other entries correspond to important outliers. The image in Fig. 4 (b) corresponds to step 2 of our method. In is quite clean, edges are neat and the challenging features—hair, feathers, hat—are well recovered. This restoration is pretty satisfactory.

### 5.3. Preprocessing of noisy data

In different applications, data  $y$  result from outlier-free degradations (e.g., distortion, blurring, quantization errors, electronic noise), plus impulsive noise. A preliminary processing, aimed at removing the outliers, is usually needed before to apply reconstruction methods. Preprocessing is often realized using median-based filters. It is critical that preprocessing keeps intact all the information contained in the outlier-free data.

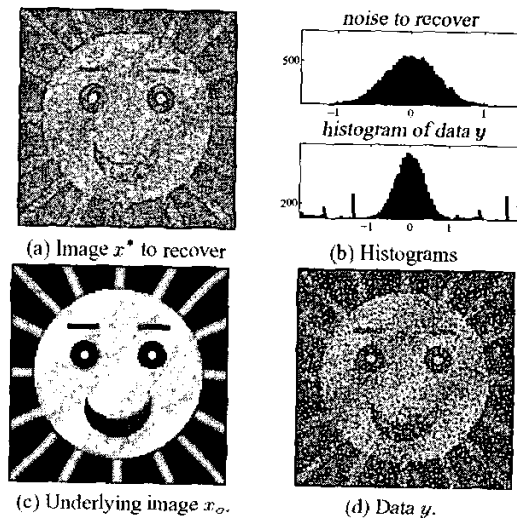


Fig. 6. Data with two-stage degradation.

In our experiment, the sought image  $x^*$ , shown in Fig. 6(a), is related to  $x_o$ , shown in (c), by  $x^* = x_o + n$ , where  $n$  is white Gaussian noise with 20 dB SNR. The histogram of  $n$  is plotted in (b), up. Our goal is to restore  $x^*$  based on the data  $y$ , shown in (d), which contain 10 % S-P noise. Restoring  $x^*$  is a challenge since the white noise there must be preserved. The relevance of an estimate  $\hat{x}$  is evaluated by both, the error  $\hat{x} - x^*$  and the closeness of the histogram of the estimated noise,  $\hat{n} = \hat{x} - x_o$ , to the initial noise  $n$ . All results in Fig. 7 correspond to the parameters which lead to the best denoising. The image in (a) corresponds to one iteration of median filter over a 3x3 window. The image in (b) is calculated using CWM with a 5x5 window and multiplicity parameter 14. The image in (d) corresponds to one iteration of PWM, for a 5x5 window and rank parameters 4 and 22. In all these estimates, the distribution of the noise estimate  $\hat{n}$  (shown on the right, up) is quite different from the distribution of  $n$ . Fig. 8 displays the issue of the proposed method, for  $\alpha = 1.3$ . It achieves a good preservation of the statistics of the noise in  $x^*$ , as seen from the histogram of the estimated noise  $\hat{n}$ —Fig. 8, right, up. Moreover, the error  $\hat{x} - x^*$  is essentially concentrated around zero, as seen in Fig. 8, right, down.

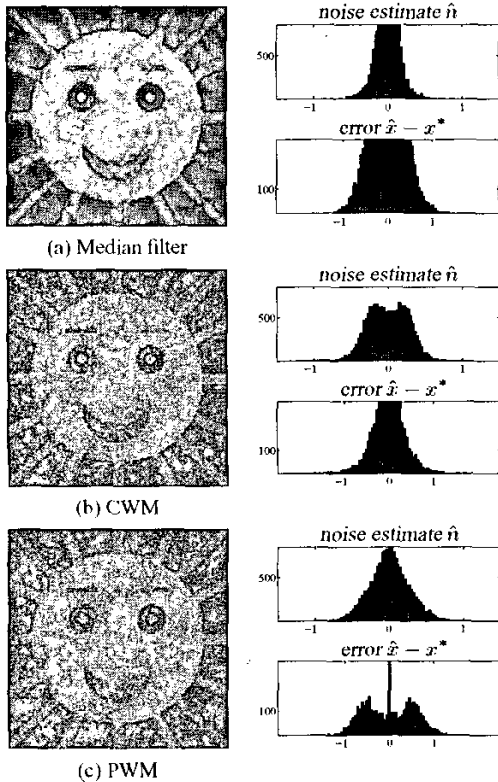


Fig. 7. Median-based restorations.

## 6. CONCLUSIONS

We present a new framework for the removal of impulsive noise. An important advantage of our method is that the outlier decision is based on a global cost-function, taking into account a prior on

the image. Hence the reliability of the outlier detection. The numerical results are promising. Further improvement of step 2 can be envisaged in order to take into account local edge information.

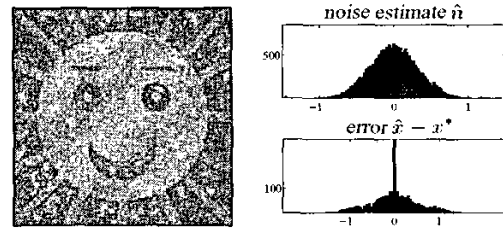


Fig. 8. The proposed method.

Method	$\ \hat{x} - x^*\ _2$	$\ \text{hist}(\hat{n} - n)\ _2$
Median filter	45.79	$1.95 \times 10^3$
CWM	30.96	$1.08 \times 10^3$
PWM	34.70	$0.86 \times 10^3$
Proposed method	25.59	$0.43 \times 10^3$

Fig. 9. Errors: data and noise distribution.

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